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OF

OPERATION 'OVERLORD'

Roger

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OBJECT

1. The object of Operation 'OVERLORD' is to mount and carry out an operation, with forces and equipment established in the UNITED KINGDOM, and with target date 1st May, 1944, to secure a lodgement on the Continent from which further offensive operations can be developed. The lodgement area must contain sufficient port facilities to maintain a force of some twenty-six to thirty divisions and enable that force to be augmented by follow-up shipments from the UNITED STATES or elsewhere of additional divisions and supporting units at the rate of three to five divisions per month.

SELECTION OF A LODGEMENT AREA

2. In order to provide sufficient port facilities to maintain these large forces, it will be necessary to select a lodgement area which includes a group of major ports. We must plan on the assumption that ports, on capture, will be seriously damaged and probably blocked. It will take some time to restore normal facilities. We shall thus be forced to rely on maintenance over beaches for an extended period.

3. A study of the beaches on the BELGIAN and CHANNEL coasts shows that the beaches with the highest capacity for passing vehicles and stores inland are those in the PAS DE CALAIS (φ), and the CAEN (-) - COTENTIN (-) area. Of these, the CAEN beaches are the most favourable as they are, unlike the others, sheltered from the prevailing winds. Naval and air considerations point to the area between the PAS DE CALAIS and the COTENTIN as the most suitable for the initial landing, air factors of optimum air support and rapid provision of airfields indicating the PAS DE CALAIS as the best choice, with CAEN as an acceptable alternative.

4. Thus, taking beach capacity and air and naval considerations together, it appears that either the PAS DE CALAIS area or the CAEN - COTENTIN area is the most suitable for the initial main landing.

- Notes:
- (φ) "PAS DE CALAIS area" has been assumed as the area between GRAVELINES and the River SOMME.
 - (m) "CAEN area" is taken as that between the River ORNE and the base of the COTENTIN Peninsula.
 - (-) "The "COTENTIN" Peninsula is the peninsula in which CHERBOURG is situated.

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5. As the area for the initial landing, the PAS DE CALAIS has many obvious advantages such that good air support and quick turn round for our shipping can be achieved. On the other hand, it is a focal point of the enemy fighters disposed for defence, and maximum enemy air activity can be brought to bear over this area with the minimum movement of his air forces. Moreover, the PAS DE CALAIS is the most strongly defended area on the whole FRENCH coast. The defences would require very heavy and sustained bombardment from sea and air: penetration would be slow and the result of the bombardment of beach exits would severely limit the rate of build-up. Further, this area does not offer good opportunities for expansion. It would be necessary to develop the bridgehead to include either the BELGIAN ports as far as ANTWERP or the CHANNEL ports Westwards to include HAVRE and ROUEN. But both an advance to ANTWERP across the numerous water obstacles, and a long flank march of some 120 miles to the SEINE ports must be considered unsound operations of war unless the GERMAN forces are in a state not far short of final collapse.

6. In the CAEN - COTENTIN area it would be possible to make our initial landing either partly on the COTENTIN Peninsula and partly on the CAEN beaches, wholly in the COTENTIN or wholly on the CAEN beaches. An attack with part of our forces in the COTENTIN and part on the CAEN beaches is, however, considered to be unsound. It would entail dividing our limited forces by the low-lying marshy ground and intricate river system at the neck of the COTENTIN Peninsula; thus exposing them to defeat in detail.

7. An attack against the COTENTIN Peninsula, on the other hand, has a reasonable chance of success and would ensure the early capture of the port of CHERBOURG. Unfortunately, very few airfields exist in the COTENTIN and that area is not suitable for rapid airfield development. Furthermore, the narrow neck of the Peninsula would give the GERMANS an easy task in preventing us from breaking out and expanding our initial bridgehead. Moreover, during the period of our consolidation in the COTENTIN the GERMANS would have time to reinforce their coastal troops in the CAEN area, rendering a subsequent amphibious assault in that area much more difficult.

8. There remains the attack on the CAEN beaches. The CAEN sector is weakly held; the defences are relatively light and the beaches are of high capacity and sheltered from the prevailing winds. Inland the terrain is suitable for airfield development and for the consolidation of the initial bridgehead; and much of it is unfavourable for counter attacks by panzer divisions. Maximum enemy air opposition can only be brought to bear at the expense of the enemy air defence screen covering the approaches to GERMANY; and the limited number of enemy airfields within range of the CAEN area facilitates local neutralisation of the GERMAN fighter force. The sector suffers from the disadvantage that considerable effort will be required to provide adequate air support to our assault forces and some time must elapse before the capture of a major port.

After a landing in the CAEN sector it would be necessary to seize either the SEINE group of ports or the BRITTANY group of ports. To seize the SEINE ports would entail forcing a crossing of the SEINE, which is likely to require greater forces than we can build up through the CAEN beaches and the port of CHERBOURG. It should, however, be possible to seize the BRITTANY ports between CHERBOURG and INTES and on them build up sufficient forces for our final advance Eastwards.

Provided that the necessary air situation can first be achieved, the chances of a successful attack and of rapid subsequent development are so much greater in this sector than in any other that it is considered that the advantages far outweigh the disadvantages.

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DECLASSIFIEDTHE LODGEMENT AREA SELECTED

9. In the light of these factors, it is considered that our initial landing on the Continent should be effected in the CAEN area, with a view to the eventual seizure of a lodgement area comprising the CHERBOURG - BRITTANY group of ports (from CHERBOURG to NANTES).

OPENING PHASE UP TO THE CAPTURE OF CHERBOURG

10. The opening phase in the seizing of this lodgement area would be the effecting of a landing in the CAEN sector with a view to the early capture and development of airfield sites in the CAEN area, and of the port of CHERBOURG.

11. The main limiting factors affecting such an operation are the possibility of attaining the necessary air situation; the number of offensive divisions which the enemy can make available for counter attack in the CAEN area; the availability of landing ships and craft and of transport aircraft; and the capacity of the beaches and ports in the sector.

12. Although the strength of the GAF available in 1944 on the Western front cannot be forecast at this stage, we can confidently expect that we shall have a vast numerical superiority in bomber forces. The first line strength of the GERMAN fighter force is, however, showing a steady increase and although it is unlikely to equal the size of the force at our disposal, there is no doubt that our fighters will have a very large commitment entailing dispersal and operations at maximum intensity. Our fighters will also be operating under serious tactical disadvantages in the early stages, which will largely offset their numerical superiority. Before the assault takes place, therefore, it will be necessary to reduce the effectiveness of the GAF, particularly that part which can be brought to bear against the CAEN area.

13. The necessary air situation to ensure a reasonable chance of success will therefore require that the maximum number of GERMAN fighter forces are contained in the Low Countries and North-West GERMANY, that the effectiveness of the fighter defence in the CAEN area is reduced and that air reinforcements are prevented from arriving in the early stages from the MEDITERRANEAN. Above all, it will be necessary to reduce the over-all strength of the GERMAN fighter force between now and the date of the operation by destruction of the sources of supply, by the infliction of casualties by bringing on air battles, and, immediately prior to the assault, by the disorganization of GAF installations and control system in the CAEN area.

14. As it is impossible to forecast with any accuracy the number and location of GERMAN formations in reserve in 1944, while on the other hand the forces available to us have been laid down, an attempt has been made in this paper to determine the wisest employment of our own forces and then to determine the maximum number of GERMAN formations which they can reasonably overcome. Apart from the air situation, which is an over-riding factor, the practicability of this plan will depend principally on the number, effectiveness and availability of GERMAN divisions present in FRANCE and the Low Countries in relation to our own capabilities. This consideration is discussed below (para 35).

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15. A maximum of thirty and a minimum of twenty-six equivalent divisions are likely to be available in the UNITED KINGDOM for cross-channel operations on 1st May, 1944. Further build-up can be at the rate of three to five divisions per month.

16. Landing ships and craft have been provided to lift the equivalent of three assault divisions and two follow-up divisions, without 'overheads', and it has been assumed that the equivalent of an additional two divisions can be afloat in ships.

17. Airborne forces amounting to two airborne divisions and some five or six parachute regiments will be available but, largely owing to shortage of transport aircraft, it is only possible to lift the equivalent of two-thirds of one airborne division simultaneously, on the basis of present forecasts.

18. Even if additional landing ships and craft could be made available, the beaches in the CAEN area would preclude the landing of forces greater than the equivalent of the three assault and two follow-up divisions, for which craft have already been provided. Nevertheless, an all-round increase of at least 10 percent in landing ships and craft is highly desirable in order to provide a greater margin for contingencies within the framework of the existing plan. Furthermore, sufficient lift for a further assault division could most usefully be employed in an additional landing on other beaches.

19. There is no port of any capacity within the sector although there are a number of small ports of limited value. Maintenance will, therefore, of necessity be largely over the beaches until it is possible to capture and open up the port of CHERRBOURG. In view of the possibilities of interruption by bad weather it will be essential to provide early some form of improvised sheltered waters.

20. Assuming optimum weather conditions, it should be possible to build up the force over the beaches to a total by D plus 6 of the equivalent of some eleven divisions and five tank brigades and thereafter to land one division a day until about D plus 24.

PROPOSED PLAN

PRELIMINARY PHASE

21. During the preliminary phase, which must start forthwith, all possible means including air and sea action, propaganda, political and economic pressure, and sabotage, must be integrated into a combined offensive aimed at softening the GERMAN resistance. In particular, air action should be directed towards the reduction of the GERMAN air forces on the Western front, the progressive destruction of the GERMAN economic system and the undermining of GERMAN morale.

22. In order to contain the maximum GERMAN forces away from the CAEN area diversionary operations should be staged against other areas such as the PAS DE CALAIS and the MEDITERRANEAN Coast of FRANCE.

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Annex II

1. Forces available in UK on 1 May 44 will be as follows:

<u>British</u>	<u>US</u>	<u>Total</u>
15 $\frac{2}{3}$ Div	14 ⁰ ex US	
2 Airborne Div	3 Div ex Mediterranean	36 $\frac{2}{3}$ Div
$\overline{17 \frac{2}{3}}$	2 airborne Div (1 from US & 1 from Mediterranean)	

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2. Of the above, 5 American Div which will be in the UK or enroute will not be operationally available on 1 May 44. These 5 American Div will, however, be operationally available 2 months from their date of arrival and can, therefore, be used in the build up subsequent to the initial assault. During May, June, + July 1944, reception + despatch of forces in the UK will limit the buildup to 2, 1, and 1 Div respectively making a total number of American Div in the UK and on the continent approximately 23 by Aug 44. Thereafter, it is assumed that direct despatch from the US to the judgement area may be begun at the rate of 4 Div per month.

FORCES OPERATIONALLY AVAILABLE ON 1 MAY 1944

3. The total forces which will therefore be operationally available on 1 May 44, are as follows:

<u>British</u> (incl Canadians)	<u>American</u>	<u>Airborne</u>	<u>Total</u>
15 $\frac{2}{3}$ Div	12 Div	4 Div (2 British + 2 American)	31 $\frac{2}{3}$ Div

In addition to the above, 28 American Div will be available for the subsequent buildup which should continue at the rate, if practicable, of 4 Div per month starting about Aug 44, through ports now available on the continent.

Assault - 2 Div

Building up to 10 Div

(2 American + 3 British)
1st 3 Div (simultaneously loaded in Landing Craft)

1st 3 Assult Div & 2 follow-up Div.

2 Div - follow-up

2 Airborne Div

- 5 -

DECLASSIFIEDSubsequent Buildup - At least 20 DivTotal

At least 29 Div

Thereafter, an additional buildup of 4 Div a month

23. During this phase air action will be intensified against the GAF particularly in North-West FRANCE with a view to reducing the effectiveness of the GAF in that area, and will be extended to include attacks against communications more directly associated with movement of GERMAN reserves which might affect the CAEN area. Three naval assault forces will be assembled with the naval escorts and loaded at ports along the SOUTH Coast of ENGLAND. Two naval assault forces carrying the follow-up forces will also be assembled and loaded, one in the THAMES Estuary and one on the WEST Coast.

THE ASSAULT

24. After a very short air bombardment of the beach defences three assault divisions will be landed simultaneously on the CAEN beaches, followed up on D day by the equivalent of two tank brigades (US regiments) and a brigade group (US regimental combat team). At the same time, airborne forces will be used to seize the town of CALVADOS; and subsidiary operations by commandos and possibly by airborne forces will be undertaken to neutralise certain coast defences and seize certain important river crossings. The object of the assault forces will be to seize the general line GRANDCAMP - BAYEUX - CAEN.

FOLLOW-UP AND BUILD-UP PHASE

Subsequent action will take the form of a strong thrust Southwards and South-Westwards with a view to destroying enemy forces, acquiring sites for airfields, and gaining depth for a turning movement into the COTENTIN Peninsula directed on CHERBOURG. When sufficient depth has been gained a force will advance into the COTENTIN and seize CHERBOURG. At the same time a thrust will be made to deepen the bridgehead South-Eastwards in order to cover the construction and operation of additional airfields in the area South-East of CAEN.

26. It is considered that within fourteen days of the initial assault CHERBOURG should be captured and the bridgehead extended to include the general line TROUVILLE - ALLENCON - MONT ST MICHEL. By this date, moreover, it should have been possible to land some eighteen divisions and to have in operation about fourteen airfields from which twenty-eight to thirty-three fighter type squadrons should be operating.

FURTHER DEVELOPMENTS AFTER CAPTURE OF CHERBOURG

27. After the capture of CHERBOURG the Supreme Allied Commander will have to decide whether to initiate operations to seize the SEINE ports or whether he must content himself with first occupying the BRITTANY ports. In this decision he will have to be guided largely by the situation of the enemy forces. If the GERMAN resistance is sufficiently weak, an immediate advance could be made to seize HAVRE and ROUEN. On the other hand, the more probable situation is that the GERMANS will have retired with the bulk of their forces to hold PARIS and the line of the SEINE, where they can best be covered by their air forces from North-East FRANCE and where they may possibly be reinforced by nations from RUSSIA. Elsewhere they may move a few divisions from Southern FRANCE to hold the crossings of the LOIRE and will leave the existing defensive divisions in BRITTANY.

It will therefore most probably be necessary for us to seize the BRITTANY ports first, in order to build up sufficient forces with which we can eventually force the passage of the SEINE.

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28. Under these circumstances, the most suitable plan would appear to be to secure first the left flank and to gain sufficient airfields for subsequent operations. This would be done by extending the bridgehead to the line of the River EURE from DIEUZE to ROUEN and thence along the line of the SEINE to the sea, seizing at the same time CHARTRES, ORLEANS and TOURS. **DECLASSIFIED**

29. Under cover of these operations a force would be employed in capturing the BRITAIN ports; the first step being a thrust Southwards to seize NANTES and ST NAZAIRE, followed by subsidiary operations to capture BREST and the various small ports of the BRITAIN Peninsula.

30. This action would complete the occupation of our initial lodgement area and would secure sufficient major ports for the maintenance of at least thirty divisions. As soon as the organization of the L of C in this lodgement area allowed and sufficient air forces had been established operations would then be begun to force the line of the SEINE, and to capture PARIS and the SEINE ports. As opportunity offered subsidiary action would also be taken to clear the GERMANS from the DISCAY ports to facilitate the entry of additional AMERICAN troops and the feeding of the FRANCE population.

COMMAND AND CONTROL

31. In carrying out Operation 'OVERLORD' administrative control would be greatly simplified if the principle were adopted that the US forces were normally on the right of the line and the BRITISH and CANADIAN forces on the left.

MAJOR CONDITIONS AFFECTING SUCCESS OF THE OPERATION

32. It will be seen that the plan for the initial landing is based on two main principles - concentration of force and tactical surprise. Concentration of the assault forces is considered essential if we are to ensure adequate air support and if our limited assault forces are to avoid defeat in detail. An attempt has been made to obtain tactical surprise by landing in a lightly defended area - presumably lightly defended as, due to its distance from a major port, the GERMANS consider a landing there unlikely to be successful. This action, of course, pre-supposes that we can offset the absence of a port in the initial stages by the provision of improvised sheltered waters. It is believed that this can be accomplished.

33. The operation calls for a much higher standard of performance on the part of the naval assault forces than any previous operation. This will depend upon their being formed in sufficient time to permit of adequate training.

34. Above all, it is essential that there should be an over-all reduction in the GERMAN fighter force between now and the time of the surface assault. From now onwards every practical method of achieving this end must be employed. This condition above all others will dictate the date by which the amphibious assault can be launched.

35. The next condition is that the number of GERMAN offensive divisions in reserve must not exceed a certain figure on the target date if the operation is to have a reasonable chance of success. The GERMAN reserves in FRANCE and the Low Countries as a whole, excluding divisions holding the coast, GAF divisions and training divisions, should not exceed on the day of the assault twelve full strength first quality divisions. In addition, the GERMANS should not be able to transfer more than fifteen first quality divisions from RUSSIA during the first two months. Moreover, on the target date the divisions in reserve should be so located that the number of first quality divisions which the GERMANS could deploy in the CAEN area to support the divisions holding the coast should not exceed three divisions on D day, five divisions by D plus 2, or nine divisions by D plus 8. **DECLASSIFIED**

During the preliminary period, therefore, every effort must be made to dissipate and divert GERMAN formations, lower their fighting efficiency and disrupt communications.

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36. Finally, there is the question of maintenance. Maintenance will have to be carried out over beaches for a period of some three months for a number of formations varying from a maximum of eighteen divisions in the first month to twelve divisions in the second month, rapidly diminishing to nil in the third month. Unless adequate measures are taken to provide sheltered waters by artificial means, the operation will be at the mercy of the weather. Moreover, special facilities and equipment will be required to prevent undue damage to craft during this extended period. Immediate action for the provision of the necessary requirements is essential.

37. Given these conditions - a reduced GAF, a limitation in the number or effectiveness of GERMAN offensive formations in FRANCE, and adequate arrangements to provide improvised sheltered waters - it is considered that Operation 'OVERLORD' has a reasonable prospect of success. To ensure these conditions being attained by the 1st May, 1944, action must start NOW and every possible effort made by all means in our power to soften GERMAN resistance and to speed up our own preparations.

Planned Diversion of Cargo Ships Direct to French Ports

1st month	0
2nd month	14
3rd month	36
4th month	60

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